



# Robert Sprague, Treasurer of State of Ohio

## Trust Department – Collateral Section

### Agency Securities Held In Trust **Pledge** Form

E-mail completed form to: [agency.collateral@tos.ohio.gov](mailto:agency.collateral@tos.ohio.gov) or Fax to (614) 466-9948

The Treasurer of State of Ohio requires a designated individual from the agency on site at the Trust Department to deliver pledged securities. This designated individual must be pre-appointed by the agency with an original signature on file.

Agency: \_\_\_\_\_ Division: \_\_\_\_\_

Contact: \_\_\_\_\_ Telephone: \_\_\_\_\_

**Agency Designee Signature:** \_\_\_\_\_

Please note: The security listed below is pending upon approval and delivery to the Treasurer of State of Ohio.

#### **Security To Be Pledged:**

Agency Identifier: \_\_\_\_\_

Pledgor: \_\_\_\_\_

Certificate Number or CUSIP: \_\_\_\_\_

Issue Date: \_\_\_\_\_

Maturity Date: \_\_\_\_\_

Issuer/Bank: \_\_\_\_\_

Pledge Amount: \_\_\_\_\_

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**Pledge Increase/Decrease Section:**

Note to Agency: Occasionally agencies will authorize an increase or decrease to the amount of the CD. A pledge increase or decrease is an update to an existing record. The pledge amount is overwritten with the new pledge value, which results in an increase or a decrease to the total pledge.

TOS Record Number: \_\_\_\_\_

Pledge Amount Increase: \_\_\_\_\_

Pledge Amount Decrease: \_\_\_\_\_

Revised Pledge Amount: \_\_\_\_\_

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Treasurer of State of Ohio  
30 E. Broad Street, 9<sup>th</sup> Floor  
Columbus, Ohio 43215-3461

Treasurer's Office Contacts  
Elizabeth McAndrew (614) 644-1285

Treasurer of State of Ohio  
Trust Department – Collateral Section

Instructions for completing the Securities Held in Trust **Pledge** Form

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Treasurer's office personnel will contact the agency designee to arrange a date and time to schedule the appointment.

Agency	The name of the agency for which the security is in trust.
Division	The agency division for which the security is in trust.
Contact	The person from the agency requesting the release.
Telephone	The phone number for the contact who is sending the request.
Agency Designee Signature	The individual who is pre-appointed by the agency with an original signature on file with the Treasurer of State of Ohio.

**Security to be Pledged section**

Agency Identifier	An identifying number assigned by the agency.
Pledgor	The Pledgor of the security.
Certificate Number or CUSIP	The security identifier.
Issue Date	Issue date of the security.
Maturity Date	Maturity date of the security.
Issuer / Bank	The entity that issued the security.
Pledge Amount	The amount held by the state.

**Pledge Increase/Decrease Section**

TOS Record Number	If known, enter the record number.
Pledge Amount Increase	The increase in amount held by the state.
Pledge Amount Decrease	The decrease amount held by the state.
Revised Pledge Amount	The total pledge amount held by the state.